

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Toshihiro ARUGA

Appln. No.

Group Art Unit: Unknown

Confirmation No.: Unknown

Examiner: Unknown

Filed: March 19, 2001

For:

PORTABLE TERMINAL APPARATUS WITH SECURITY FUNCTION

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. Japanese Laid-Open Patent Publication No. 09-223112, published August 26, 1997.
- 2. Japanese Laid-Open Patent Publication No. 11-30953, published February 2, 1999.
- 3. Japanese Laid-Open Patent Publication No. 11-96252, published April 9, 1999.
- 4. Japanese Laid-Open Patent Publication No. 11-15927, published January 22, 1999.
- 5. Japanese Laid-Open Patent Publication No. 11-110593, published April 23, 1999.
- 6. Japanese Patent No. 2899464, issued March 12, 1999.

One copy of each of the listed documents is submitted herewith.

Toshihiro ARUGA Q63638 Information Disclosure Statement

The present Information Disclosure Statement is being filed: (1) No later than three

months from the application's filing date for an application other than a continued prosecution

application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the

merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

request for continued examination (RCE) under §1.114, and therefore, no Statement under

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant states that the references are discussed within the

specification beginning at page 3, lines 4, 17, and 24, page 5, lines 5 and 26, and page 6, line 18,

respectively.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Registration No. 24,625

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC

2100 Pennsylvania Avenue, N.W. Washington, D.C. 20037-3213

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

Date: March 19, 2001

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Form PTO-1449 (Rev. 2-32)  U.S. Department of Patent & Trademar		Commerce k Office	, Q63638		Confirmation No.: Unknown		
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Toshihiro ARUGA				
-			Filing Date: March 19, 2001	Group: Unknow	Group: Unknown		
		U.S. PATI	ENT DOCUMENTS				
Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Filing Date (if appropriate)	
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		_	ATENT DOCUMENTS				
	Document	Date	Country	Class	Sub- class	Transl Yes	
	09-223112	08/26/97	Japan			No	
	11-30953	02/02/99	Japan		<del></del>	No	<del>` -</del>
	11-96252 11-15927	04/09/99	Japan Japan			No No	
	11-13927	04/23/99	Japan			No	
	2899464	03/12/99	Japan			No	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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EXAMINER:			DATE CONSIDERE	 ED:		<del>.</del>	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication.